



**Wyoming Secretary of State**

State Capitol Building, Compliance Division  
200 West 24<sup>th</sup> Street  
Cheyenne, WY 82002-0020  
Ph. 307.777.7370  
Fax 307.777.7640  
Email: [compliance@state.wy.us](mailto:compliance@state.wy.us)

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**SECURITIES COMPLAINT FORM**

Please complete and mail or email this form to the Wyoming Compliance Division with any relevant information attached. All information provided is confidential.

**SECTION 1: Personal Information**

Name:

Date of Birth:

Address:

Email Address:

Phone #:

Investment Experience:

**SECTION 2: Investment Information**

Name of Sales Person/Agent:

Firm/Company:

Firm/Company Address:

Type of Investment:

Date of Investment:

Total Amount of Money Invested: \$

Cash/Check/Money Order

\$ (Other)

How did you learn about the investment: (personal visit, phone solicitation, mailing, etc...?)

**Section 3: Complaint Details**

Summarize your complaint details:

Name

Date